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Exact verification of the strong BSD conjecture for some absolutely simple modular abelian surfaces

see arXiv:2107.00325 and forthcoming articles

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joint with Michael Stoll

Universität Hannover
(previously Universität Bayreuth)

July 5, 2022

The BSD conjecture:
Why is it useful?

Introduction:

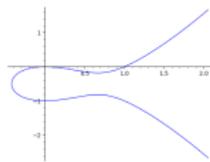
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$$E : y^2 + y = x^3 - x^2 \quad (\text{model of } X_1(11), 11a3)$$

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Proof: $L(E, 1) \neq 0$.

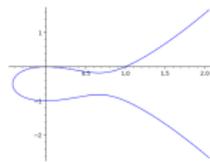


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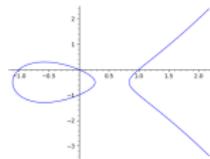


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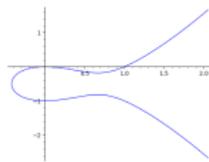
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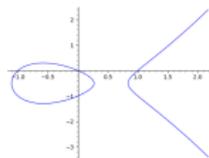


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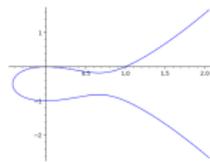
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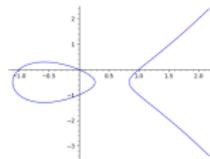


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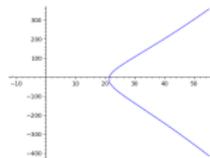
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$$C : y^2 = 8x^4 + 65x^2 + 132.$$

One has $g(C) = 1, C(\mathbf{Q}) = \emptyset$, but $C(\mathbf{Q}_v) \neq \emptyset$, and $C \otimes \overline{\mathbf{Q}} \cong E : y^2 + xy + y = x^3 + x^2 - 352x - 2689$ (66b3).

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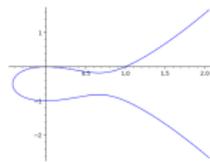


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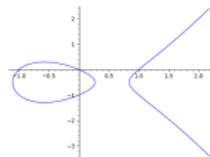


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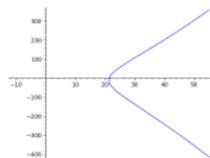
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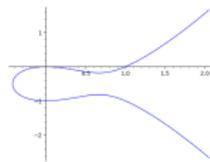


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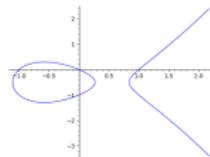


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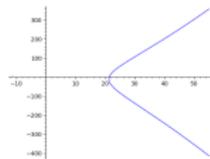
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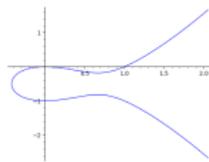
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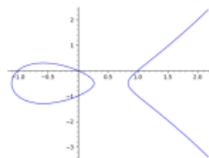


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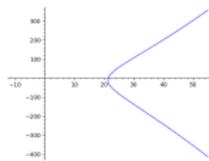
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Theorem of Mordell–Weil

Let A be an abelian variety over \mathbf{Q} , e.g. $A = E$ an elliptic curve. Then the Mordell–Weil group $A(\mathbf{Q})$ is a **finitely generated** abelian group.

Proof (sketch).

For every $n > 1$, there is an **n -descent** exact sequence

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with $a_p := p + 1 - \#E(\mathbf{F}_p)$ for $p \in S_{\text{good}}$ (trace of p -Frobenius).

Birch–Swinnerton-Dyer (rank) conjecture

$$r = r_{\text{an}} := \text{ord}_{s=1} L(E, s)$$

- ▶ Formulated based on computations in 1965.
- ▶ r_{an} well-defined by modularity of E/\mathbf{Q} .
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classifies everywhere locally trivial A -torsors.

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Can you give a non-trivial example for III?

The Selmer cubic

$$C : 3x^3 + 4y^3 + 5z^3 = 0$$

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- ▶ $C(\mathbf{Q}_v) \neq \emptyset$: Weil conjectures or $H^1(\mathbf{F}_p, E) = 0$ and Hensel's lemma.
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What are applications of the strong BSD conjecture?

Problem

Let C/\mathbf{Q} be a curve of genus 1. Decide: $C(\mathbf{Q}) = \emptyset$? $\#C(\mathbf{Q}) = \infty$?

- ▶ Compute elliptic curve E/\mathbf{Q} such that $[C] \in \text{III}(E/\mathbf{Q})$.
- ▶ If one can decide $C(\mathbf{Q}) \neq \emptyset$, one can decide $\#C(\mathbf{Q}) = \infty$ by deciding $L(E, 1) = 0$ (BSD rank conjecture).
- ▶ Compute $\#\text{III}(E/\mathbf{Q})$ using strong BSD.
- ▶ Enumerate representatives of $\text{III}(E/\mathbf{Q})$.
- ▶ Use the perfect Cassels–Tate pairing

$$\langle \cdot, \cdot \rangle : \text{III}(E/\mathbf{Q}) \times \text{III}(E/\mathbf{Q}) \rightarrow \mathbf{Q}/\mathbf{Z}$$

to decide existence of $[D] \in \text{III}(E/\mathbf{Q})$ with $\langle [C], [D] \rangle \neq 0$.

Questions?

The BSD conjecture:
What is known?

What is an isogeny?

How is it related to Galois representations?

Definition

An **isogeny** of abelian varieties is a finite surjective morphism.

- ▶ For p prime, one has an isogeny $[p] : A \rightarrow A$.
- ▶ $A[p]$ is a finite flat p -torsion group scheme of order $p^{2\dim A}$.
- ▶ Sometimes $[p]$ can be factorized. This holds if and only if there is a non-trivial subgroup scheme of $A[p]$. In this case,

$$\rho_p : \text{Gal}(\overline{\mathbf{Q}}|\mathbf{Q}) \rightarrow \text{Aut}(A[p])$$

is **reducible** and vice versa.

- ▶ If $A = E$ is an elliptic curve, reducible ρ_p look like:

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- ▶ The functor representing (generalized) elliptic curves with a cyclic N -isogeny (up to twist) is representable by a nice curve, called $X_0(N)$.
- ▶ Its Jacobian is denoted by $J_0(N)$.
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- ▶ $\dim_{\mathbb{C}} S_2(\Gamma_0(N)) = g(X_0(N)) < \infty$
- ▶ There is an injective **q -expansion morphism**
 $S_2(\Gamma_0(N)) \hookrightarrow \mathbb{C}[[q]], f \mapsto \sum_{n \geq 1} a_n(f) q^n.$
- ▶ Example: $S_2(\Gamma_0(11)) = \mathbb{C} \cdot (\Delta(z)\Delta(11z))^{1/2}$ with

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What is a newform?

- ▶ **Newforms** f are special cusp forms.
- ▶ There are only finitely many for each N .
- ▶ One can associate a system of ℓ -adic **Galois representations** to f , denoted by ρ_{f,ℓ^∞} .
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- ▶ This implies by combining the **Gross–Zagier formula** with the Heegner point **Euler system** of Kolyvagin–Logachëv:

$$r = r_{\text{an}}, \quad (\text{BSD rank conjecture})$$

$$\#\text{III}(A/\mathbf{Q}) < \infty,$$

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Questions?

What are our new results
in dimension 2?

How to bound $\#\text{III}(A/\mathbf{Q})$?

There are two reasons why $\text{III}(A)$ could be infinite:

- ▶ “horizontal”: $\text{III}(A)[p] \neq 0$ for infinitely many p .
- ▶ “vertical”: $\text{III}(A)[p^\infty] \cong F \oplus (\mathbf{Q}_p/\mathbf{Z}_p)^n$ infinite for one p .

Solution to the “horizontal” problem:

Theorem (K.): explicit Euler system of KOLYVAGIN–LOGACHËV

Let A be a modular abelian variety over \mathbf{Q} . Denote $\mathcal{O} := \text{End}_{\mathbf{Q}}(A)$.
One has $\text{III}(A/\mathbf{Q})[p] = 0$ for all p with

- ▶ $\rho_p : \text{Gal}(\overline{\mathbf{Q}}|\mathbf{Q}) \rightarrow \text{Aut}_{\mathbf{F}_p}(A[p](\overline{\mathbf{Q}}))$ irreducible and
- ▶ $p \nmid 2 \cdot c \cdot \text{gcd}_K(I_K)$ with Heegner indices I_K and the Tamagawa product c (both can be refined to \mathcal{O} -ideals).

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Problems when $\dim A > 1$ (necessary input for Euler system)

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How to compute the remaining $\text{III}(A/\mathbb{Q})[p^\infty]$?

Two tools:

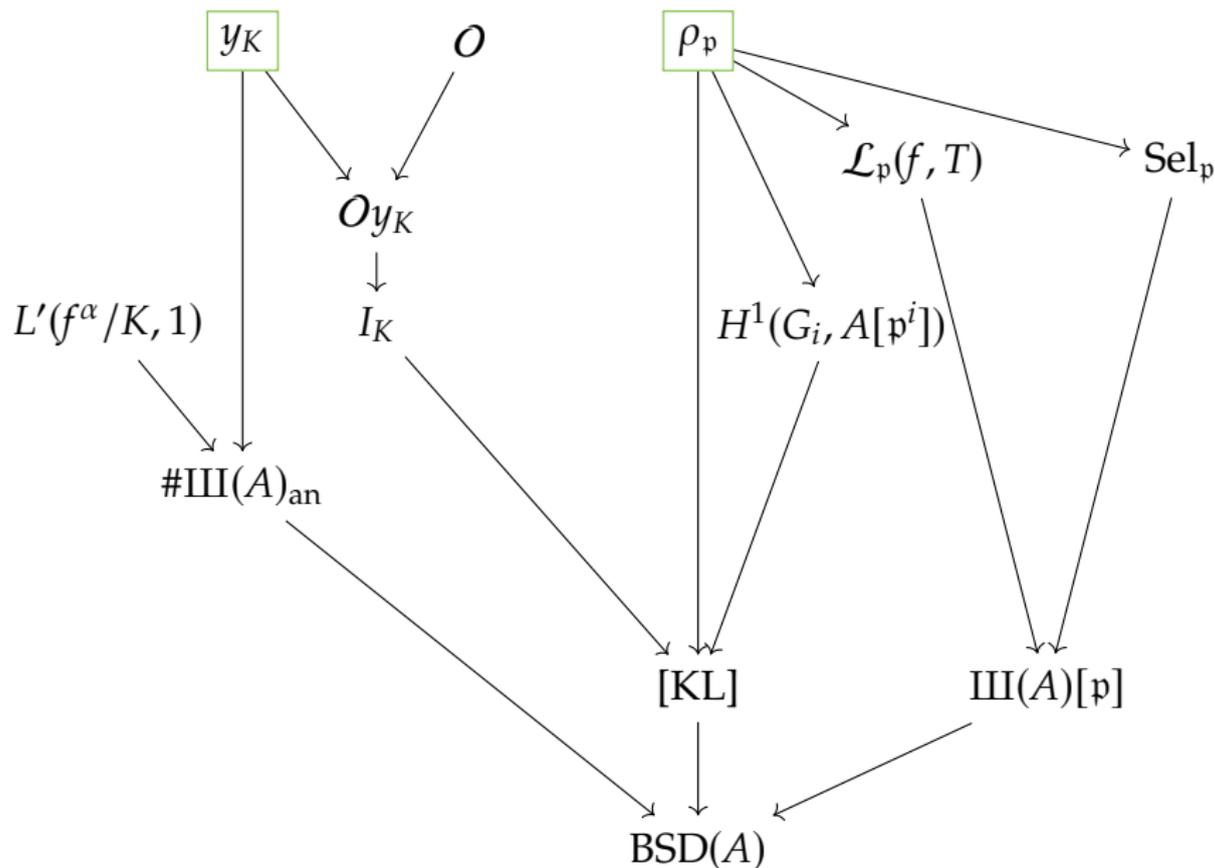
- ▶ Perform a p^n -descent to compute $\text{Sel}_{p^n}(A/\mathbb{Q})$.
 - Works very well if ρ_{p^n} is reducible.
 - Works for general p^n in principle, but:
 - Infeasible if ρ_{p^n} has large image, e.g., $\#\mathcal{O}/p^n > 7$ and ρ_{p^n} irreducible, even assuming GRH.
- ▶ Compute the p -adic L -function and use the GL_2 IMC.
 - Can be computed very efficiently with overconvergent modular symbols using the POLLACK–STEVENS–GREENBERG algorithm.
 - Requires ρ_p to be irreducible.
(But: work in progress joint with CASTELLA)
 - Unclear for good non-ordinary and especially bad non-multiplicative reduction.
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How do we verify the conjecture?



Questions?

How to compute ρ_p ?

Which Galois representations are we interested in?

- ▶ Let $\mathfrak{p} \mid p$ be a regular prime ideal of \mathcal{O} with residue field $\mathbf{F}_{\mathfrak{p}}$.
- ▶ We use the following Galois representations:
 - $\chi_p : \text{Gal}(\overline{\mathbf{Q}}|\mathbf{Q}) \rightarrow \mathbf{F}_{\mathfrak{p}}^{\times}$ the mod- p cyclotomic character,
 - the mod- p Galois representation
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Excursion: Group theory of finite groups of Lie type

Assume $p \nmid 2$. Every subgroup of $\mathrm{PSL}_2(p) := \ker(\overline{\det} : \mathrm{PGL}_2(\mathbf{F}_p) \rightarrow \mathbf{F}_p^\times/2)$ is (up to conjugacy) contained in one of the following **maximal subgroups**:

- ▶ **Borel** subgroup $\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ (reducible image as \mathbf{F}_p -representation)
- ▶ $\mathrm{PSL}_2(p)$ (reducible image as \mathbf{F}_p -representation, only for $\mathbf{F}_p \supseteq \mathbf{F}_p$)
- ▶ **normalizer of a split/non-split Cartan subgroup** C_s, C_{ns} :
$$N_s = \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}, \begin{pmatrix} 0 & b \\ a & 0 \end{pmatrix} \right\}; N_{ns} = \left\{ \begin{pmatrix} a & \varepsilon b \\ b & a \end{pmatrix}, \begin{pmatrix} a & \varepsilon b \\ -b & -a \end{pmatrix} \right\} \text{ (dihedral)}$$

with $\varepsilon \in \mathbf{F}_p \setminus \mathbf{F}_p^\square$
- ▶ **A_4, S_4 or A_5** (exceptional image)

How to prove almost all ρ_p are irreducible?

- ▶ Raynaud's classification of simple factors of $\rho_p|_{I_p}^{\text{ss}}$ and CFT:

If ρ_p is reducible, $\rho_p^{\text{ss}} \cong \varepsilon \oplus \varepsilon^{-1} \chi_p$ with ε of conductor d with $d^2 \mid N$,
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- ▶ Hence: If ρ_p is reducible as an \mathbb{F}_p -representation for all $\mathfrak{p} \mid p$, then

an eigenvalue of $\rho_p(\text{Frob}_\ell)$ has order dividing $\text{ord}(\bar{\ell} \in (\mathbb{Z}/d)^\times)$.

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- ▶ Bounding the resultant using the Weil conjectures gives a **small finite set of primes** p containing all p with ρ_p **reducible** for all $\mathfrak{p} \mid p$.

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- ▶ Bounding the resultant using the Weil conjectures gives a **small finite set of primes** p containing all p with ρ_p **reducible** for all $p \mid p$.
- ▶ Can be refined to $p \ll \mathcal{O}$.

How to prove almost all ρ_p are maximal?

- ▶ If ρ_p is irreducible as an \mathbf{F}_p -representation, but **reducible as an \mathbf{F}_p -representation**,

$$p \mid \gcd_{\substack{\ell \nmid pN \\ \ell \equiv 1 \pmod{d}}} \left(\frac{a_\ell - \bar{a}_\ell}{2} \right).$$

- ▶ If $p > 5$, ρ_p does not have **exceptional image**.
- ▶ If $p > C(N, \deg \rho)$, then the image of ρ_p is not contained in the **normalizer of a Cartan subgroup**.

Questions?

How to compute the Heegner index?

How to compute a rational number exactly?

We can determine $x \in \mathbf{Q}$ exactly

if we know an explicit $N \in \mathbf{Z}$ such that $x \in \frac{1}{N}\mathbf{Z}$

and if we can approximate $x \in \mathbf{C}$ up to an arbitrary precision.

(We can determine $x \in \overline{\mathbf{Q}}$ exactly

if we know an explicit $N \in \mathbf{Z}$ such that $x \in \frac{1}{N}\overline{\mathbf{Z}}$ and $[\mathbf{Q}(x) : \mathbf{Q}] \leq d$

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How to go from the analytic rank to the algebraic rank?

Let K/\mathbf{Q} be an imaginary quadratic **Heegner field** such that

- ▶ all primes $\ell \mid N$ split in K ,
i.e., there is a fractional ideal $\mathfrak{n} \triangleleft \mathcal{O}_K$ with $\mathcal{O}_K/\mathfrak{n} \cong \mathbf{Z}/N$,
- ▶ $L'(f/K, 1) \neq 0$.

(By results of WALDSPURGER et al., there are infinitely many such K .)

Gross–Zagier formula, 1986

For an isogeny quotient $\pi : J_0(N) \rightarrow A_f$ with Manin constant c_π and Heegner point $y_K \in A_f(K)$,

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How to compute the Heegner index I_K ?

- ▶ We need to work on a Jacobian in the isogeny class of $A_f := J_0(N)/\text{Ann}_T(f)$.
- ▶ Compute an isogeny $\pi : A_f \rightarrow J := \text{Jac}(X)$ using the big period matrices of A_f and J .
- ▶ Compute the h_K Heegner forms $Ax^2 + Bx + C$ for (N, disc_K) .
- ▶ Compute the zeros τ of the Heegner forms with $\text{Im}(\tau) > 0$.
- ▶ Approximate $\int_{q_\tau}^{i\infty} f(q) dq, \int_{q_\tau}^{i\infty} f^\alpha(q) dq$ with $q_\tau := \exp(2\pi i\tau)$ and map the points via $\pi : \mathbf{C}^2/\Lambda_f \rightarrow J(\mathbf{C})$ (X hyperelliptic!) and sum over all τ to get a \mathbf{C} -approximation of $\pi(y_K)$.
- ▶ Find a K -approximation to $\pi(y_K) \in J(K)$.

Note that $\text{Ann}_O(J(K)/O\pi(y_K))$ can be a multiple of $I_K := \text{Ann}_O(A_f(K) : O y_K)$ if $[J(K) : \pi(A_f(K))] > 1$.

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How to prove that our $\mathcal{O}\pi(y_K)$ is correct?

- ▶ **Problem:** The set of points of $J(K)$ near to some $y \in J(\mathbf{C})$ is dense!
- ▶ **Idea:** Use the Northcott property of heights $\hat{h}_{\mathcal{L}} : J(K) \rightarrow \mathbf{R}_{\geq 0}$ and assume that $\hat{h}_{2\vartheta}$ is injective on $J(K)$ up to sign and torsion.

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- ▶ Reconstruct the height pairing matrix on A_f .
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How to compute $\#\text{III}(A/\mathbf{Q})_{\text{an}}$ exactly?

- ▶ Compute $\frac{L(f,1)}{\Omega_f^+} \in \mathbf{Q}(f)$ exactly using modular symbols and BALAKRISHNAN–MÜLLER–STEIN and VAN BOMMEL'S code to compute Ω_A .
- ▶ If $L(A, 1) \neq 0$, this gives $\#\text{III}(A/\mathbf{Q})_{\text{an}} \in \mathbf{Q}_{>0}$ exactly.
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Questions?

Examples in dimension 2

Can you give an example? $A = \text{Jac}(X_0(39)/w_{13})$

- ▶ $\mathcal{O} = \mathbf{Z}[\sqrt{2}]$
- ▶ $r = r_{\text{an}} = 0$
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All Atkin-Lehner quotients of genus 2 of our type (I)

X	r	O	$\#\text{III}_{\text{an}}$	ρ_p red.	c	(D, I_D)	$\#\text{III}$
$X_0(23)$	0	$\sqrt{5}$	1	11_1	11	$(-7, 11)$	11^0
$X_0(29)$	0	$\sqrt{2}$	1	7_1	7	$(-7, 7)$	7^0
$X_0(31)$	0	$\sqrt{5}$	1	$\sqrt{5}$	5	$(-11, 5)$	5^0
$X_0(35)/w_7$	0	$\sqrt{17}$	1	2_1	1	$(-19, 1)$	1
$X_0(39)/w_{13}$	0	$\sqrt{2}$	1	$\sqrt{2}, 7_1$	7	$(-23, 7)$	7^0
$X_0(67)^+$	2	$\sqrt{5}$	1		1	$(-7, 1)$	1
$X_0(73)^+$	2	$\sqrt{5}$	1		1	$(-19, 1)$	1
$X_0(85)^*$	2	$\sqrt{2}$	1	$\sqrt{2}$	1	$(-19, 1)$	1
$X_0(87)/w_{29}$	0	$\sqrt{5}$	1	$\sqrt{5}$	5	$(-23, 5)$	5^0
$X_0(93)^*$	2	$\sqrt{5}$	1		1	$(-11, 1)$	1
$X_0(103)^+$	2	$\sqrt{5}$	1		1	$(-11, 1)$	1
$X_0(107)^+$	2	$\sqrt{5}$	1		1	$(-7, 1)$	1
$X_0(115)^*$	2	$\sqrt{5}$	1		1	$(-11, 1)$	1
$X_0(125)^+$	2	$\sqrt{5}$	1	$\sqrt{5}$	1	$(-11, 1)$	5^0

All Atkin-Lehner quotients of genus 2 of our type (II)

X	r	O	$\#\text{III}_{\text{an}}$	ρ_p red.	c	(D, I_D)	$\#\text{III}$
$X_0(133)^*$	2	$\sqrt{5}$	1		1	$(-31, 1)$	1
$X_0(147)^*$	2	$\sqrt{2}$	1	$\sqrt{2}, 7_1$	1	$(-47, 1)$	7^0
$X_0(161)^*$	2	$\sqrt{5}$	1		1	$(-19, 1)$	1
$X_0(165)^*$	2	$\sqrt{2}$	1	$\sqrt{2}$	1	$(-131, 1)$	1
$X_0(167)^+$	2	$\sqrt{5}$	1		1	$(-15, 1)$	1
$X_0(177)^*$	2	$\sqrt{5}$	1		1	$(-11, 1)$	1
$X_0(191)^+$	2	$\sqrt{5}$	1		1	$(-7, 1)$	1
$X_0(205)^*$	2	$\sqrt{5}$	1		1	$(-31, 1)$	1
$X_0(209)^*$	2	$\sqrt{2}$	1		1	$(-51, 1)$	1
$X_0(213)^*$	2	$\sqrt{5}$	1		1	$(-11, 1)$	1
$X_0(221)^*$	2	$\sqrt{5}$	1		1	$(-35, 1)$	1
$X_0(287)^*$	2	$\sqrt{5}$	1		1	$(-31, 1)$	1
$X_0(299)^*$	2	$\sqrt{5}$	1		1	$(-43, 1)$	1
$X_0(357)^*$	2	$\sqrt{2}$	1		1	$(-47, 1)$	1

Questions?

What is left to do?

What would be nice to achieve?

- ▶ Using SHNIDMAN–WEISS², find examples of A/\mathbf{Q} with

$$\#\text{III}(A/\mathbf{Q}) = \#\text{III}(A/\mathbf{Q})_{\text{an}} \neq 2^i!$$

Can have $p \in \{3, 5, 7, 11, (13?), \dots, (31?), \dots?\}$.

- ▶ Find J/\mathbf{Q} and $\mathfrak{p} \mid p$ “large” with
 - $p^2 \mid N$ (no p -adic L -functions),
 - $\mathfrak{p} \mid c \cdot I_K$ ([KL] does not give $\text{III}(J/\mathbf{Q})[\mathfrak{p}] = 0$), and
 - $\rho_{\mathfrak{p}}$ irreducible (p -descent hard)!

²Elements of prime order in Tate-Shafarevich groups of abelian varieties over \mathbf{Q} ,
arXiv:2106.14096

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Thank you!