

# On an analogue of the conjecture of Birch and Swinnerton-Dyer for Abelian schemes over higher dimensional bases over finite fields – complements

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## Abstract

We prove a finiteness theorem for the first flat cohomology group of finite flat group schemes over integral normal proper varieties over finite fields. As a consequence, we can prove the invariance of the finiteness of the Tate-Shafarevich group of Abelian schemes over higher dimensional bases under isogenies and alterations over/of such bases for the  $p$ -part. Along the way, we generalize previous results on the Tate-Shafarevich and the Brauer group in this situation.

**Keywords:** Abelian varieties of dimension  $> 1$ ; Birch-Swinnerton-Dyer conjecture; Étale and other Grothendieck topologies and cohomologies; Brauer groups of schemes; Arithmetic ground fields

**MSC 2010:** 11G10, 14F20, 14F22, 14K15

## 1 Introduction

The Tate-Shafarevich group  $\text{III}(\mathcal{A}/X)$  of an Abelian scheme  $\mathcal{A}$  over a base scheme  $X$  is of great importance for the arithmetic of  $\mathcal{A}$ . It classifies everywhere locally trivial  $\mathcal{A}$ -torsors. Its finiteness is sufficient to establish our analogue of the conjecture of Birch and Swinnerton-Dyer [Kel19] over higher dimensional bases over finite fields.

In [Kel16, section 4.3], we showed that finiteness of an  $\ell$ -primary component of the Tate-Shafarevich group descends under generically étale  $\ell'$ -alterations. This is used in [Kel19, Corollary 5.11] to prove the finiteness of the Tate-Shafarevich group and an analogue of the Birch-Swinnerton-Dyer conjecture for certain Abelian schemes over higher dimensional bases over finite fields under mild conditions. In [Kel16, section 4.4], we showed that finiteness of an  $\ell$ -primary component of the Tate-Shafarevich group is invariant under étale isogenies.

In this article, we generalize these results for  $\ell^\infty$ -torsion to  $p^\infty$ -torsion and complete our reduction from a basis of dimension 2 to a basis of dimension 1 assuming the ground field is an infinite finitely generated field of positive characteristic.

Recall that we defined the Tate-Shafarevich group of  $\mathcal{A}/X$  for  $\dim X > 1$  and  $\mathcal{A}$  of good reduction as  $H_{\text{ét}}^1(X, \mathcal{A})$ . We first weaken the hypothesis that  $\mathcal{A}$  is a Jacobian in [Kel16, Theorem 4.5] to arbitrary Abelian schemes:

**Theorem** (Corollary 2.4). *Let  $X$  be a regular integral Noetherian separated scheme and  $\mathcal{A}/X$  be an Abelian scheme. Let  $Z \hookrightarrow X$  be a closed subscheme of codimension  $\geq 2$ . Then  $H_Z^i(X, \mathcal{A})$  is torsion for all  $i$  and has trivial  $p$ -torsion for  $i = 0, 1, 2$  and if  $p$  is invertible on  $X$ .*

Our main results are now as follows:

**Theorem** (Theorem 3.14). *Let  $X$  be a proper integral normal variety over a finite field and  $G/X$  be a finite flat commutative group scheme. Then  $H_{\text{ppf}}^1(X, G)$  is finite.*

This theorem is proven by reduction to the finite flat simple group schemes  $\mathbf{Z}/p, \mu_p$  and  $\alpha_p$  over an algebraically closed field using by de Jong's alteration theorem, Raynaud-Gruson and a dévissage argument.

Using this technical result and refining our methods from [Kel16], we obtain:

**Theorem** (Lemma 5.1). *Let  $\mathcal{A}/X$  be an Abelian scheme over a proper variety  $X$  over a finite field of characteristic  $p$ . Then  $\text{III}(\mathcal{A}/X)[p^\infty]$  is cofinitely generated.*

**Theorem** (invariance of finiteness of III under isogenies). *Let  $X/k$  be a proper variety over a finite field  $k$  and  $f : \mathcal{A} \rightarrow \mathcal{A}'$  be an isogeny of Abelian schemes over  $X$ . Let  $p$  be an arbitrary prime. Assume  $f$  étale if  $p \neq \text{char } k$ . Then  $\text{III}(\mathcal{A}/X)[p^\infty]$  is finite if and only if  $\text{III}(\mathcal{A}'/X)[p^\infty]$  is finite.*

**Theorem** (invariance of finiteness of III under alterations). *Let  $f : X' \rightarrow X$  be a proper, surjective, generically finite morphism of integral, normal varieties over a finite field. Let  $\mathcal{A}$  be an abelian scheme on  $X$  and  $\mathcal{A}' := f^*\mathcal{A} = \mathcal{A} \times_X X'$ . Let  $\ell$  be invertible on  $X$ . If  $\text{III}(\mathcal{A}'/X')[\ell^\infty]$  is finite, so is  $\text{III}(\mathcal{A}/X)[\ell^\infty]$ .*

**Notation.** Canonical isomorphisms are often denoted by “ $=$ ”. The  $\ell$ -adic valuation  $|\cdot|_\ell$  is taken to be normalized by  $|\ell|_\ell = \ell^{-1}$ . Denote the absolute Galois group of a field  $k$  by  $G_k$ .

We denote Pontrjagin duality by  $(-)^D$  (see [NSW00, §1]), duals of  $R$ -modules or  $\ell$ -adic sheaves by  $(-)^V$ , and duals of Abelian schemes and Cartier duals by  $(-)^t$ .

For an abelian group  $A$ , let  $A_{\text{tors}}$  be the torsion subgroup of  $A$ , and  $A_{\text{n-tors}} = A/A_{\text{tors}}$ . Let  $A_{\text{div}}$  be the maximal divisible subgroup of  $A$  (in general strictly contained in the subgroup of divisible elements of  $A$ , but agree for  $A$  a cofinitely generated  $\ell$ -primary group [Kel19, Lemma 2.1.1 (iii)]) and  $A_{\text{n-div}} = A/A_{\text{div}}$ . For an integer  $n$  and an object  $A$  of an abelian category, denote the cokernel of  $A \xrightarrow{n} A$  by  $A/n$  and its kernel by  $A[n]$ , and for a prime  $p$  the  $p$ -primary subgroup  $\varinjlim_n A[p^n]$  by  $A[p^\infty]$ . Write  $A[\text{non-}p]$  or  $A[p']$  for  $\varinjlim_{p \nmid n} A[n]$ . For a prime  $\ell$ , let the  $\ell$ -adic Tate module  $T_\ell A$  be  $\varprojlim_n A[\ell^n]$  and the rationalized  $\ell$ -adic Tate module  $V_\ell A = T_\ell A \otimes_{\mathbf{Z}_\ell} \mathbf{Q}_\ell$ . The corank of  $A[p^\infty]$  is the  $\mathbf{Z}_p$ -rank of  $A[p^\infty]^D = T_p A$ .

## 2 Vanishing of étale cohomology with supports of Abelian schemes

This is a complement to the “vanishing condition”  $H_Z^i(X, G) = 0$  [Kel16, (4.4)], which is proven there only for Jacobians of curves, see [Kel16, Lemma 4.10].

**Theorem 2.1.** *Let  $X$  be a regular integral Noetherian separated scheme and  $G/X$  be a finite étale commutative group scheme of order invertible on  $X$ . Let  $Z \hookrightarrow X$  be a closed subscheme of codimension  $\geq 2$ . Then  $H_Z^i(X, G) = 0$  for  $i \leq 2$  (étale cohomology with supports in  $Z$ ).*

*Proof.* Let  $U = X \setminus Z$ . One has a long exact cohomology sequence

$$\dots \rightarrow H^{i-1}(X, G) \rightarrow H^{i-1}(U, G) \rightarrow H_Z^i(X, G) \rightarrow H^i(X, G) \rightarrow H^i(U, G) \rightarrow \dots,$$

so one has to prove that  $H^i(X, G) \rightarrow H^i(U, G)$  is an isomorphism for  $i = 0, 1$  and injective for  $i = 2$ .

For  $i = 0$ , the claim  $H_Z^0(X, G) = 0$  is equivalent to the injectivity of

$$H^0(X, G) \rightarrow H^0(X \setminus Z, G),$$

which is clear from [Har83], p. 105, Exercise II.4.2 since  $G/X$  is separated,  $X$  is reduced and  $X \setminus Z \hookrightarrow X$  is dense.

For  $i = 1$  the claim  $H_Z^1(X, G) = 0$  is equivalent to

$$H^0(X, G) \rightarrow H^0(X \setminus Z, G)$$

being surjective and

$$H^1(X, G) \rightarrow H^1(X \setminus Z, G)$$

being injective. The surjectivity of  $H^0(X, G) \rightarrow H^0(X \setminus Z, G)$  follows e. g. from

**Theorem 2.2.** *Let  $S$  be a normal Noetherian base scheme, and let  $u : Z \dashrightarrow G$  be an  $S$ -rational map from a smooth  $S$ -scheme  $Z$  to a smooth and separated  $S$ -group scheme  $G$ . Then, if  $u$  is defined in codimension  $\leq 1$ , it is defined everywhere.*

*Proof.* See [BLR90], p. 109, Theorem 1. □

For the injectivity of  $H^1(X, G) \rightarrow H^1(X \setminus Z, G)$ : If a principal homogeneous space  $P/X$  for  $G/X$  is trivial over  $X \setminus Z$ , then it is trivial over  $X$ : The trivialization over  $X \setminus Z$  gives a rational map from  $X$  to the principal homogeneous space and any such map (with  $X$  a regular scheme) extends to a morphism by Theorem 2.2.

For the surjectivity of  $H^1(X, G) \rightarrow H^1(X \setminus Z, G)$ : This means that any principal homogeneous space  $P/(X \setminus Z)$  extends to a principal homogeneous space  $\bar{P}/X$ . By [Mil80], p. 123, Corollary III.4.7, we have  $\mathrm{PHS}(G/X) \xrightarrow{\sim} H^1(X_{\mathrm{ét}}, G)$  (Čech cohomology) since  $G/X$  is affine. Since  $G/X$  is smooth, [Mil80], p. 123, Remark III.4.8 (a) shows that we can take étale cohomology as well, and by [Mil80], p. 101, Corollary III.2.10, one can take derived functor cohomology instead of Čech cohomology. By Zariski-Nagata purity [SGA1], Exp. X, Corollaire 3.3, one can extend this to a  $\bar{P}/X$ , for which we have to show that it represents an element of  $H^1(X, G)$ , i. e. that it is a  $G$ -torsor.

Now we need to show that if  $P/(X \setminus Z)$  is an  $G|_{X \setminus Z}$ -torsor and  $\bar{P}$  an extension of  $P$  to a finite étale covering of  $X$ , then  $\bar{P}/X$  is also an  $G$ -torsor. For this, we use the following

**Theorem 2.3.** *Let  $S$  be a connected scheme,  $G \rightarrow S$  a finite flat group scheme, and  $X \rightarrow S$  a scheme over  $S$  equipped with a left action  $\rho : G \times_S X \rightarrow X$ . These data define a  $G$ -torsor over  $S$  if and only if there exists a finite locally free surjective morphism  $Y \rightarrow S$  such that  $X \times_S Y \rightarrow Y$  is isomorphic, as a  $Y$ -scheme with  $G \times_S Y$ -action, to  $G \times_S Y$  acting on itself by left translations.*

*Proof.* See [Sza09], p. 171, Lemma 5.3.13. □

That  $P/(X \setminus Z)$  is an  $G|_{X \setminus Z}$ -torsor amounts to saying that there is an operation

$$G|_{X \setminus Z} \times_{X \setminus Z} P \rightarrow P$$

as in the previous Theorem 2.3. Since this is étale locally isomorphic to the canonical action

$$G|_{X \setminus Z} \times_{X \setminus Z} G|_{X \setminus Z} \xrightarrow{\mu} G|_{X \setminus Z}$$

which is finite étale, by faithfully flat descent the operation defines an étale covering, so extends by Zariski-Nagata purity uniquely to an étale covering  $H \rightarrow X$ , which by uniqueness has to be isomorphic to  $G \times_X \bar{P} \rightarrow \bar{P}$ . Now one has to check the condition in Theorem 2.3.

There is a finite étale Galois covering  $X'/X$  with Galois group  $G$  such that  $G \times_X X'$  is isomorphic to a direct sum of  $\mu_n$  with  $n$  invertible on  $X$ . The Leray spectral sequence with supports  $H^p(G, H_Z^q(X', G \times_X X')) \Rightarrow H_Z^{p+q}(X, G)$  from [Kel16], p. 228, Theorem 4.9, so it suffices to show  $H_Z^q(X', G \times_X X') = 0$  for  $q = 0, 1, 2$ . Hence one can assume  $G \cong \mu_n$  for  $n$  invertible on  $X$ .

One has an injection  $\mathrm{Br}(X) \hookrightarrow \mathrm{Br}(K(X))$  with  $K(X)$  the function field of  $X$  and  $\mathrm{Br}(X) \rightarrow \mathrm{Br}(U) \rightarrow \mathrm{Br}(K(X))$ , so  $\mathrm{Br}(X) \rightarrow \mathrm{Br}(U)$  is injective. By the hypotheses on  $X$  and since the codimension of  $Z$  in  $X$  is  $\geq 2$ , by Corollary 3.7, there is a restriction isomorphism  $\mathrm{Pic}(X) \xrightarrow{\sim} \mathrm{Pic}(U)$ . Hence the snake lemma applied to the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathrm{Pic}(X)/n & \longrightarrow & H^2(X, \mu_n) & \longrightarrow & \mathrm{Br}(X)[n] \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathrm{Pic}(U)/n & \longrightarrow & H^2(U, \mu_n) & \longrightarrow & \mathrm{Br}(U)[n] \longrightarrow 0 \end{array}$$

gives that  $H^2(X, \mu_n) \rightarrow H^2(U, \mu_n)$  is injective, so  $H_Z^2(X, \mu_n) = 0$ . □

**Corollary 2.4.** *Let  $X$  be a regular integral Noetherian separated scheme and  $\mathcal{A}/X$  be an Abelian scheme. Let  $Z \hookrightarrow X$  be a closed subscheme of codimension  $\geq 2$ . Then  $H_Z^i(X, \mathcal{A})$  is torsion for all  $i$  and has trivial  $p$ -torsion for  $i = 0, 1, 2$  and if  $p$  is invertible on  $X$ .*

*Proof.* By [Kel16], p. 224, Proposition 4.1,  $H^i(X, \mathcal{A})$  is torsion for  $i > 0$ . The Kummer exact sequence  $0 \rightarrow \mathcal{A}[n] \rightarrow \mathcal{A} \rightarrow \mathcal{A} \rightarrow 0$  for  $n$  invertible on  $X$  yields a surjection

$$H_Z^i(X, \mathcal{A}[n]) \twoheadrightarrow H_Z^i(X, \mathcal{A})[n],$$

so it suffices to show that  $H_Z^i(X, \mathcal{A}[n]) = 0$  for  $i = 1, 2$ . But this is Theorem 2.1. The triviality  $H_Z^0(X, \mathcal{A}) = 0$  is equivalent to the injectivity of

$$H^0(X, \mathcal{A}) \rightarrow H^0(X \setminus Z, \mathcal{A}),$$

which is clear from [Har83], p. 105, Exercise II.4.2 since  $\mathcal{A}/X$  is separated,  $X$  is reduced and  $X \setminus Z \hookrightarrow X$  is dense. □

With vanishing condition [Kel16, Theorem 4.5] generalizes to:

**Theorem 2.5.** *Let  $X$  be regular, Noetherian, integral and separated and let  $\mathcal{A}$  be an Abelian scheme over  $X$ . For  $x \in X$ , denote the function field of  $X$  by  $K$ , the quotient field of the strict Henselization of  $\mathcal{O}_{X,x}$  by  $K_x^{nr}$ , the inclusion of the generic point by  $j : \{\eta\} \hookrightarrow X$  and let  $j_x : \text{Spec}(K_x^{nr}) \hookrightarrow \text{Spec}(\mathcal{O}_{X,x}^{sh}) \hookrightarrow X$  be the composition. Then we have*

$$H^1(X, \mathcal{A}) \xrightarrow{\sim} \ker \left( H^1(K, j^* \mathcal{A}) \rightarrow \prod_{x \in X} H^1(K_x^{nr}, j_x^* \mathcal{A}) \right).$$

One can replace the product over all points by the following:

(a) the closed points: One has isomorphisms

$$H^1(X, \mathcal{A}) \xrightarrow{\sim} \ker \left( H^1(K, j^* \mathcal{A}) \rightarrow \prod_{x \in |X|} H^1(K_x^{nr}, j_x^* \mathcal{A}) \right)$$

and

$$H^1(X, \mathcal{A}) \xrightarrow{\sim} \ker \left( H^1(K, j^* \mathcal{A}) \rightarrow \prod_{x \in |X|} H^1(K_x^h, j_x^* \mathcal{A}) \right)$$

with  $K_x^h = \text{Quot}(\mathcal{O}_{X,x}^h)$  if  $\kappa(x)$  is finite.

or (b) the codimension-1 points: One has an isomorphism

$$H^1(X, \mathcal{A}) \xrightarrow{\sim} \ker \left( H^1(K, j^* \mathcal{A}) \rightarrow \bigoplus_{x \in X^{(1)}} H^1(K_x^{nr}, j_x^* \mathcal{A}) \right)$$

if one disregards the  $p$ -torsion ( $p = \text{char } k$ ) and  $X/k$  is smooth projective over  $k$  finitely generated. For  $\dim X \leq 2$ , this also holds for the  $p$ -torsion.

For  $x \in X^{(1)}$ , one can also replace  $K_x^{nr}$  and  $K_x^h$  by the quotient field of the completions  $\hat{\mathcal{O}}_{X,x}^{sh}$  and  $\hat{\mathcal{O}}_{X,x}^h$ , respectively.

### 3 Finiteness theorems for $H_{\text{fppf}}^1$ over finite fields

The aim of this section is to show that  $H_{\text{fppf}}^1(X, G)$  is finite for  $X$  a normal proper variety over a finite field of characteristic  $p$  and  $G/X$  a finite flat group scheme.

The proof is by reduction to the case of a finite flat *simple* group scheme over an algebraically closed field, which is isomorphic to  $\mathbf{Z}/\ell$  (étale-étale),  $\mathbf{Z}/p$  (étale-local),  $\mu_p$  (local-étale) or  $\alpha_p$  (local-local).

We use the interpretation of  $H_{\text{fppf}}^1(X, G)$  as  $G$ -torsors on  $X$  [Mil80, Proposition III.4.7] since  $G/X$  is affine. We also exploit de Jong's alteration theorem [de 96, Theorem 4.1].

Let us first recall some well-known facts on flat cohomology.

**Definition 3.1.** *An isogeny of commutative group schemes  $G, H$  of finite type over an arbitrary base scheme  $X$  is a group scheme homomorphism  $f : G \rightarrow H$  such that for all  $x \in X$ , the induced homomorphism  $f_x : G_x \rightarrow H_x$  on the fibers over  $x$  is finite and surjective on identity components.*

**Remark 3.2.** See [BLR90, p. 180, Definition 4]. We will usually consider isogenies between abelian schemes, for example the finite flat  $n$ -multiplication, which is étale iff  $n$  is invertible on the base scheme or the abelian schemes are trivial.

**Lemma 3.3.** *Let  $G, G'$  be commutative group schemes over a scheme  $X$  which are smooth and of finite type over  $X$  with connected fibers and  $\dim G = \dim G'$  and let  $f : G' \rightarrow G$  be a morphism of commutative group schemes over  $X$ .*

*If  $f$  is flat (respectively, étale) then  $\ker(f)$  is a flat (respectively, étale) group scheme over  $X$ ,  $f$  is quasi-finite, surjective and defines an epimorphism in the category of flat (respectively, étale) sheaves over  $X$ .*

*Proof.* See [Kel19, Lemma 2.3.3]. □

**Lemma 3.4** (Kummer sequence). *Let  $f : G \rightarrow G'$  be a faithfully flat isogeny between smooth commutative group schemes over a base scheme  $X$ . Then the sequence*

$$0 \rightarrow \ker(f) \rightarrow G \xrightarrow{f} G' \rightarrow 0$$

*is exact on  $X_{\text{fppf}}$ . This applies in particular to  $G = \mathbf{G}_m$  and  $G = \mathcal{A}$  an abelian scheme and the  $n$ -multiplication morphism for arbitrary  $n \neq 0$ .*

*Proof.* Since  $f$  is faithfully flat, in particular surjective, it is an epimorphism of sheaves by Lemma 3.3. An isogeny of abelian schemes is faithfully flat by [Mil86, Proposition 8.1].  $\square$

**Lemma 3.5.** *Let  $X/S$  be a smooth commutative group scheme. Then there are comparison isomorphisms*

$$H_{\text{fppf}}^i(X, G) = H_{\text{ét}}^i(X, G).$$

*In particular,  $H_{\text{fppf}}^i(X, G)$  is finite if  $X$  is proper over a finite field and  $G$  is a commutative finite étale group scheme.*

*Proof.* See [Mil80, Remark III.3.11 (b)] and note that the proof given there gives a comparison isomorphism for any topologies between the étale and the flat site.  $\square$

**Lemma 3.6.** *Let  $X$  be a Noetherian integral scheme with function field  $K(X)$  and  $U \subseteq X$  dense open. Then there is an exact sequence*

$$1 \rightarrow \mathbf{G}_m(X) \rightarrow \mathbf{G}_m(U) \rightarrow \bigoplus_{D \in (X \setminus U)^{(1)}} \mathbf{Z}[D] \rightarrow \text{Cl}(X) \rightarrow \text{Cl}(U) \rightarrow 0.$$

*Proof.* The assumptions imply that there is a commutative diagram with exact rows

$$\begin{array}{ccccccccc} 1 & \longrightarrow & \mathcal{O}_X(X)^\times & \longrightarrow & K(X)^\times & \longrightarrow & \text{Div}(X) & \longrightarrow & \text{Cl}(X) & \longrightarrow & 0 \\ & & \downarrow & & \parallel & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & \mathcal{O}_X(U)^\times & \longrightarrow & K(U)^\times & \longrightarrow & \text{Div}(U) & \longrightarrow & \text{Cl}(U) & \longrightarrow & 0. \end{array}$$

A diagram chase yields the result.  $\square$

**Corollary 3.7.** *Let  $X$  be a Noetherian integral regular scheme and let  $U \subseteq X$  be dense open. Then there is an exact sequence*

$$1 \rightarrow \mathbf{G}_m(X) \rightarrow \mathbf{G}_m(U) \rightarrow \bigoplus_{D \in (X \setminus U)^{(1)}} \mathbf{Z}[D] \rightarrow \text{Pic}(X) \rightarrow \text{Pic}(U) \rightarrow 0.$$

*Proof.* By the assumptions,  $\text{Cl}(X) = \text{Pic}(X)$  and  $\text{Cl}(U) = \text{Pic}(U)$ .  $\square$

**Corollary 3.8.** *Let  $X/\mathbf{F}_q$  be an integral Noetherian regular proper variety and let  $j : U \hookrightarrow X$  be the inclusion of an open subscheme of  $X$ . Then  $H_{\text{fppf}}^1(U, \mu_{p^n})$  is finite for all  $n$  and any prime  $p$ .*

*Proof.* The Kummer sequence Lemma 3.4 on  $U_{\text{fppf}}$  together with  $\text{Pic}(U) = H_{\text{fppf}}^1(U, \mathbf{G}_{m,U})$  by Lemma 3.5 yields the exact sequence

$$1 \rightarrow \mathbf{G}_m(U)/p^n \rightarrow H_{\text{fppf}}^1(U, \mu_{p^n}) \rightarrow \text{Pic}(U)[p^n] \rightarrow 0.$$

Since  $\mathbf{G}_m(X) = \Gamma(X, \mathbf{G}_m)^\times$  is finite by the coherence theorem since  $X/\mathbf{F}_q$  is proper and  $\mathbf{F}_q$  is finite, and since  $\text{Pic}(X)$  is finitely generated since it sits in a short exact sequence  $0 \rightarrow \text{Pic}^0(X) \rightarrow \text{Pic}(X) \rightarrow \text{NS}(X) \rightarrow 0$  and  $\text{Pic}^0(X)$  is finite since it is the group of rational points of an Abelian variety over a finite field and  $\text{NS}(X)$  is always finitely generated by [Mil80], p. 215, Theorem V.3.25, by Corollary 3.7 and the finiteness of  $(X \setminus U)^{(1)}$ , this exact sequence gives the finiteness of  $\mathbf{G}_m(U)/p^n$  and of  $\text{Pic}(U)[p^n]$ .  $\square$

**Lemma 3.9.** *Let  $X$  be a normal integral scheme and  $G/X$  be a finite flat group scheme. If  $T$  is a  $G$ -torsor on  $X$  trivial over the generic point of  $X$ , then  $T$  is trivial. Hence,  $H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(K(X), G)$  is injective, and if  $f : Y \rightarrow X$  is birational,  $f^* : H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(Y, G)$  is injective.*

*Proof.* Since  $T$  is trivial over the generic point of  $X$ , generically, there is a section of  $\pi : T \rightarrow X$ . This extends to a rational map  $\sigma : X \dashrightarrow T$ . Take the schematic closure  $i : X' \hookrightarrow T$  of  $\sigma$ . The composition  $\pi \circ i : X' \rightarrow T \rightarrow X$  is birational and finite (as a composition of a closed immersion and a finite morphism). By [GW10, Corollary 12.88], since  $X$  is normal,  $X' \rightarrow X$  is an isomorphism. Hence  $\sigma$  is a section of  $\pi$ , so  $T/X$  is trivial.  $\square$

**Lemma 3.10.** *Let  $X$  be a proper variety over a finite field and  $Y/X$  be a finite flat scheme. Let  $Z/X$  be proper. Then  $Y(Z)$  is finite.*

*Proof.* Since  $\text{Mor}_X(Z, Y) = \text{Mor}_Z(Z, Y \times_X Z)$ , one can assume  $Z = X$ . So we have to show that there are only finitely many sections to  $\pi : Y \rightarrow X$ . Such a section corresponds to an  $\mathcal{O}_X$ -algebra map  $\pi_* \mathcal{O}_Y \rightarrow \mathcal{O}_X$ . But  $H_{\text{Zar}}^0(X, \mathcal{H}\text{om}_X(\pi_* \mathcal{O}_Y, \mathcal{O}_X))$  is finite by the coherence theorem as it is a finite dimensional vector space over a finite field.  $\square$

**Lemma 3.11.** *Let  $Y \rightarrow X$  be an alteration of proper integral varieties with  $X$  normal, and  $G/X$  be a finite flat commutative group scheme. Then  $\ker(H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(Y, G))$  is finite. Hence  $H_{\text{fppf}}^1(X, G)$  is finite if  $H_{\text{fppf}}^1(Y, G)$  is.*

*Proof.* If  $Y \rightarrow X$  is a blow-up, the kernel is trivial by Lemma 3.9 since a blow-up is birational. Hence the statement holds for blow-ups.

Since a normalization morphism of integral schemes is birational [Liu06, Proposition 4.1.22], one can assume  $X'$  normal.

By [RG71, Théorème 5.2.2], there is a blow-up  $X' \rightarrow X$  such that  $Y' := Y \times_X X'$  is flat over  $X'$ . There is a commutative diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & \ker(H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(Y, G)) & \longrightarrow & H_{\text{fppf}}^1(X, G) \\ & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \ker(H_{\text{fppf}}^1(X', G) \rightarrow H_{\text{fppf}}^1(Y', G)) & \longrightarrow & H_{\text{fppf}}^1(X', G) \end{array}$$

By the snake lemma, since  $\ker(H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(X', G))$  is finite as  $X' \rightarrow X$  is a blow-up,  $\ker(H_{\text{fppf}}^1(X, G) \rightarrow H_{\text{fppf}}^1(Y, G))$  is finite if we can show that  $\ker(H_{\text{fppf}}^1(X', G) \rightarrow H_{\text{fppf}}^1(Y', G))$  is finite. Hence, we can assume  $Y \rightarrow X$  finite flat.

Let  $T \rightarrow X$  be in the kernel, i. e. it is a torsor trivial on  $Y$ . Choose a section  $\sigma : Y \rightarrow T \times_X Y$ . Since  $T \times_X (Y \times_X Y) \rightarrow Y \times_X Y$  is a  $G$ -torsor, one can take

$$\tau := \sigma \circ \text{pr}_0 - \sigma \circ \text{pr}_1 \in G(Y \times_X Y).$$

The section  $\tau$  corresponds to the isomorphism class of the  $G$ -torsor  $T$  by descent theory for the fppf covering  $\{Y \rightarrow X\}$ , but by Lemma 3.10,  $G(Y \times_X Y)$  is finite.  $\square$

**Lemma 3.12.** *Let  $X$  be an integral scheme with function field  $K$  and  $G/X$  be a finite flat group scheme. Let  $H_K \hookrightarrow G_K$  be a finite flat group scheme. Then there is a blow-up  $\tilde{X}/X$  such that  $H_K$  extends to a finite flat subgroup scheme of  $G \times_X \tilde{X}$ .*

*Proof.* Let  $H \hookrightarrow G$  be the schematic closure of  $H_K \hookrightarrow G$ . The morphism  $H \rightarrow G \rightarrow X$  is finite as a composition of a closed immersion and a finite morphism. By [RG71, Théorème 5.2.2], there is a blow-up  $X' \rightarrow X$  such that  $H' := H \times_X X' \rightarrow X'$  is flat. Then,  $H'$  is the schematic closure of  $H_K \hookrightarrow G' := G \times_X X'$ . So one can assume  $H/X$  finite flat.

Let  $Y \rightarrow X$  be finite flat. Since the morphism is affine, locally, one has the diagram

$$\begin{array}{ccc} A & \hookrightarrow & A \otimes_R \text{Quot}(R) \\ \uparrow & & \uparrow \\ R & \hookrightarrow & \text{Quot}(R). \end{array}$$

Here, the upper horizontal arrow is injective by flatness of  $R \rightarrow A$ . Hence  $Y$  is the schematic closure of  $Y_K$  in  $Y$ .

By flatness, the schematic closure of  $H_K \times_K H_K$  in  $G \times_X G$  is  $H \times_X H$ . By the universal property of the schematic closure [GW10, (10.8)], one has the factorization

$$\begin{array}{ccc} H_K \times_K H_K^\mu & \longrightarrow & H_K \\ \downarrow & & \downarrow \\ H \times_X H^\mu & \dashrightarrow & H \\ \downarrow & & \downarrow \\ G \times_X G^\mu & \longrightarrow & G, \end{array}$$

for the multiplication  $\mu$ , and similar for the inverse and unit section.  $\square$

**Lemma 3.13.** *Let  $X$  be a proper integral variety over a field and  $G/X$  be a finite flat commutative group scheme. After an alteration  $X' \rightarrow X$ , there exists a filtration of  $G$  by finite flat group schemes with subquotients of prime order.*

*Proof.* Over the algebraic closure of the function field of  $X$ , there is such an filtration since the only simple objects in the category of finite flat group schemes of  $p$ -power order are  $\mu_p$ ,  $\mathbf{Z}/p$  and  $\alpha_p$ . Since everything is of finite presentation, these are defined over a finite extension of the function field [GW10, Corollary 10.79]. Now take the normalization in this finite extension of function fields and use Lemma 3.12.  $\square$

**Theorem 3.14.** *Let  $X$  be a proper integral normal variety over a finite field and  $G/X$  be a finite flat commutative group scheme. Then  $H_{\text{fppf}}^1(X, G)$  is finite.*

*Proof.* By Lemma 3.13, Lemma 3.11 and the long exact cohomology sequence one can assume  $G$  of prime order  $p$  (since the case of  $G/X$  étale is easily dealt with). Since then  $G$  is simple by [Sha86, p. 38] and since  $F \circ V = [p] = 0$  by [Sha86, p. 62] and [Mum70, p. 141], either  $V = 0$  or  $F = 0$  on  $G$ .

If  $V = 0$ , by [de 93, Proposition 2.2], there is a short exact sequence

$$0 \rightarrow G \rightarrow \mathcal{L} \rightarrow \mathcal{M} \rightarrow 0$$

with vector bundles  $\mathcal{L}, \mathcal{M}$ . By the coherence theorem, as  $X$  is proper and lives over a finite ground field, and by comparison of Zariski and fppf cohomology [Mil80, Proposition III.3.7], the long exact cohomology sequence shows that  $H_{\text{fppf}}^1(X, G)$  is finite.

If  $F = 0$ , after replacing  $X$  by an alteration by Lemma 3.11 as in the proof of Lemma 3.13, one can assume that  $G$  is isomorphic to  $\mu_p$  over the generic point. Since for  $Y, Z/X$  of finite presentation such that  $Y_K \cong Z_K$ , there is a non-empty open subscheme  $U \hookrightarrow X$  such that  $Y_U \cong Z_U$ , there is a non-empty open subscheme  $U \hookrightarrow X$  such that  $G_U \cong \mu_{p,U}$ . There is an alteration  $f : X' \rightarrow X$  such that  $X'$  is regular. By Corollary 3.8,  $H_{\text{fppf}}^1(f^{-1}(U), \mu_p)$  is finite. By Lemma 3.9,  $H_{\text{fppf}}^1(X', G \times_X X')$  is finite, so by Lemma 3.11,  $H_{\text{fppf}}^1(X, G)$  is finite.  $\square$

## 4 Isogeny invariance of finiteness of III, the $p$ -part

In this section, we extend [Kel16], p. 240, Theorem 4.31 to  $p^\infty$ -torsion.

**Theorem 4.1.** *Let  $X/k$  be a proper variety over a finite field  $k$  and  $f : \mathcal{A} \rightarrow \mathcal{A}'$  be an isogeny of Abelian schemes over  $X$ . Let  $p$  be an arbitrary prime. Assume  $f$  étale if  $p \neq \text{char } k$ . Then  $\text{III}(\mathcal{A}/X)[p^\infty]$  is finite if and only if  $\text{III}(\mathcal{A}'/X)[p^\infty]$  is finite.*

*Proof.* In the case where  $\ell$  is invertible on  $X$  and  $f$  is étale (i. e., of degree invertible on  $X$ ), this is [Kel16], p. 240, Theorem 4.31.

Now assume  $p = \text{char } k$ . The short exact sequence of flat sheaves Lemma 3.4 yields an exact sequence in cohomology

$$H_{\text{fppf}}^1(X, \ker(f)) \rightarrow H_{\text{fppf}}^1(X, \mathcal{A}) \xrightarrow{f} H_{\text{fppf}}^1(X, \mathcal{A}')$$

and note that  $H_{\text{fppf}}^1(X, \mathcal{A}) = H_{\text{ét}}^1(X, \mathcal{A}) = \text{III}(\mathcal{A}/X)$  by Lemma 3.5 since  $\mathcal{A}/X$  is smooth, and that  $H_{\text{fppf}}^1(X, \ker(f))$  is finite by Theorem 3.14. Note that all groups are torsion (the Tate-Shafarevich groups

by [Kel16], p. 224, Proposition 4.1), hence the sequence stays exact after taking  $p^\infty$ -torsion. So  $\text{III}(\mathcal{A}/X)[p^\infty]$  is finite if  $\text{III}(\mathcal{A}'/X)[p^\infty]$  is.

For the converse, note that by [Kel19], Proposition 2.19 there is a polarization  $\lambda : \mathcal{A}^t \rightarrow \mathcal{A}$ . Hence, the argument above for  $\lambda$  and  $\lambda^t$  implies that  $\text{III}(\mathcal{A}^t/X)[p^\infty]$  is finite iff  $\text{III}(\mathcal{A}/X)[p^\infty]$  is, and analogously for  $\text{III}(\mathcal{A}'/X)[p^\infty]$ . Taking the dual Kummer sequence  $0 \rightarrow \ker(f^t) \rightarrow \mathcal{A}^{tt} \rightarrow \mathcal{A}^t \rightarrow 0$  yields an exact sequence

$$\mathrm{H}_{\text{fppf}}^1(X, \ker(f^t)) \rightarrow \text{III}(\mathcal{A}^{tt}/X) \rightarrow \text{III}(\mathcal{A}^t/X).$$

By the same argument as above,  $\text{III}(\mathcal{A}^{tt}/X)[p^\infty]$  is finite if  $\text{III}(\mathcal{A}^t/X)[p^\infty]$  is if  $\text{III}(\mathcal{A}/X)[p^\infty]$  is. So  $\text{III}(\mathcal{A}'/X)[p^\infty]$  is finite.  $\square$

## 5 Descent of finiteness of III, the $p$ -part

In this section, we extend [Kel16], p. 238, Theorem 4.29 to  $p^\infty$ -torsion.

**Lemma 5.1.** *Let  $\mathcal{A}/X$  be an Abelian scheme over a proper variety  $X$  over a finite field of characteristic  $p$ . Then  $\text{III}(\mathcal{A}/X)[p^\infty]$  is cofinitely generated.*

Recall that  $\text{III}(\mathcal{A}/X)$  was defined as  $\mathrm{H}_{\text{ét}}^1(X, \mathcal{A})$  in [Kel16], p. 225, Definition 4.2.

*Proof.* The long exact cohomology sequence associated to the Kummer sequence Lemma 3.4 gives us a surjection

$$\mathrm{H}_{\text{fppf}}^1(X, \mathcal{A}[p^n]) \rightarrow \mathrm{H}_{\text{fppf}}^1(X, \mathcal{A})[p^n] \rightarrow 0$$

Now, since  $\mathcal{A}/X$  is a smooth group scheme, Lemma 3.5 gives us an isomorphism  $\mathrm{H}_{\text{fppf}}^1(X, \mathcal{A}) = \mathrm{H}_{\text{ét}}^1(X, \mathcal{A})$ , which by definition equals  $\text{III}(\mathcal{A}/X)$ . By Theorem 3.14,  $\mathrm{H}_{\text{fppf}}^1(X, \mathcal{A}[p^n])$  is finite since  $X/\mathbf{F}_q$  is proper. From this, one sees that  $\mathrm{H}_{\text{ét}}^1(X, \mathcal{A})[p]$  is finite. Hence  $\text{III}(\mathcal{A}/X)[p^\infty]$  is cofinitely generated by [Kel19], Lemma 2.38.  $\square$

**Lemma 5.2.** *Let  $f : X' \rightarrow X$  be a finite étale morphism of constant degree  $d$  and let  $\mathcal{F}$  be an fppf sheaf on  $X$ . Then there is a trace map  $\text{Tr}_f : f_* f^* \mathcal{F} \rightarrow \mathcal{F}$ , functorial in  $\mathcal{F}$ , such that  $\varphi \mapsto \text{Tr}_f \circ f_*(\varphi)$  is an isomorphism  $\text{Hom}_{X'}(\mathcal{F}', f^* \mathcal{F}) \rightarrow \text{Hom}_X(\pi_* \mathcal{F}', \mathcal{F})$  for any fppf sheaf  $\mathcal{F}'$  on  $X'$ . Thus,  $f_* = f_!$ , that is,  $f_*$  is left adjoint to  $f^*$ , and  $\text{Tr}_f$  is the adjunction map. The composites*

$$\mathcal{F} \rightarrow f_* f^* \mathcal{F} \xrightarrow{\text{Tr}_f} \mathcal{F} \quad \text{and} \quad \mathrm{H}_{\text{fppf}}^r(X, \mathcal{F}) \xrightarrow{f^*} \mathrm{H}_{\text{fppf}}^r(X', f^* \mathcal{F}) \xrightarrow{\text{can}} \mathrm{H}_{\text{fppf}}^r(X, f_* f^* \mathcal{F}) \xrightarrow{\text{Tr}_f} \mathrm{H}_{\text{fppf}}^r(X, \mathcal{F})$$

are multiplication by  $d$ .

*Proof.* As in [Mil80], p. 168, Lemma V.1.12.  $\square$

**Theorem 5.3.** *Let  $p$  be a prime and  $X$  be a scheme of characteristic  $p$ . Let  $f : X' \rightarrow X$  be a proper, surjective, generically étale morphism of generical degree prime to  $p$  of integral, normal varieties over a finite field. Let  $\mathcal{A}$  be an abelian scheme on  $X$  and  $\mathcal{A}' := f^* \mathcal{A} = \mathcal{A} \times_X X'$ . If  $\text{III}(\mathcal{A}'/X')[p^\infty]$  is finite, so is  $\text{III}(\mathcal{A}/X)[p^\infty]$ .*

*Proof.* The same proof as in [Kel16, Theorem 4.29] works, one only needs  $\text{III}(\mathcal{A}/X)[p^\infty]$  to be cofinitely generated in Step 2, which is Lemma 5.1. The trace morphism in Step 3 for fppf cohomology comes from Lemma 5.2. Note that the proof given there does not need the regularity of  $X, X'$  and that varieties over a field are excellent by [Liu06, Corollary 2.40 (a)].  $\square$

**Lemma 5.4.** *Let  $\ell$  be a prime number and  $A, B$  be cofinitely generated abelian  $\ell$ -primary torsion groups. Assume that there are morphisms  $f : A \rightarrow B$  and  $g : B \rightarrow A$  such that  $g \circ f : A \rightarrow A$  is multiplication by a non-zero integer  $n$ . Then the  $\ell$ -rk  $A \leq \ell$ -rk  $B$ .*

*Proof.* Take the image of our situation modulo the Serre subcategory (!) of finite  $\ell$ -torsion groups. Then  $g \circ f = [n]$  is an isomorphism in the quotient category (it has trivial kernel and cokernel). Hence  $f$  is a split monomorphism, so  $A$  is a direct summand in  $B$ . Because the  $\ell$ -rank is additive, the claim follows.  $\square$

**Corollary 5.5.** *In the situation of the lemma above, if  $B$  is finite,  $A$  is finite.*

*Proof.* A cofinitely generated abelian  $\ell$ -primary torsion group is finite iff its  $\ell$ -rank is 0.  $\square$

We remove the hypotheses that  $f$  is generically étale and has degree prime to  $\ell$  in [Kel16, Theorem 4.29]:

**Theorem 5.6.** *Let  $f : X' \rightarrow X$  be a proper, surjective, generically finite morphism of integral, normal varieties over a finite field. Let  $\mathcal{A}$  be an abelian scheme on  $X$  and  $\mathcal{A}' := f^*\mathcal{A} = \mathcal{A} \times_X X'$ . Let  $\ell$  be invertible on  $X$ . If  $\mathrm{III}(\mathcal{A}'/X')[\ell^\infty]$  is finite, so is  $\mathrm{III}(\mathcal{A}/X)[\ell^\infty]$ .*

*Proof.* By the Stein factorization,  $f$  factors as a proper, surjective, birational morphism followed by a finite morphism. In particular, it is a generically étale alteration. The finite morphism factors as a finite purely inseparable morphism followed by a finite generically étale morphism.

If  $f$  is generically étale: Upgrade the proof of Step 2 of [Kel16, Theorem 4.29] with the previous lemma to remove the assumption on the generical degree of  $f$ .

If  $f$  is a universal homeomorphism,  $f^* : \mathrm{H}_{\text{ét}}^1(X, \mathcal{A}[\ell^n]) \rightarrow \mathrm{H}_{\text{ét}}^1(X', f^*\mathcal{A}[\ell^n])$  is an isomorphism by [SGA4.2, VIII.1.1]. But  $\mathcal{A}[\ell^n]/X$  is étale because  $\ell$  is invertible on  $X$ , so  $\mathrm{H}_{\text{ét}}^1(X', \mathcal{A}'[\ell^n])$ .  $\square$

## 6 The $p$ -part of the Brauer group

Let  $X$  be a smooth projective geometrically integral variety over a finite field  $k = \mathbf{F}_q$  of characteristic  $p$  with absolute Galois group  $\Gamma$  topologically generated by the Frobenius  $\mathrm{Frob}$ . By [Kel16], p. 213, Corollary 2.5, one has  $\mathrm{Br}(X) = \mathrm{H}_{\text{ét}}^2(X, \mathbf{G}_m) = \mathrm{H}_{\text{ét}}^2(X, \mathbf{G}_m)_{\mathrm{tors}}$ , and these equal the corresponding flat cohomology groups by Lemma 3.5.

**Proposition 6.1.** *There is a diagram of finitely generated  $\mathbf{Z}_p$ -modules with exact rows and columns*

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
& & \mathrm{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{Z}_p & \longrightarrow & (\mathrm{NS}(\bar{X}) \otimes_{\mathbf{Z}} \mathbf{Z}_p)^\Gamma & & \\
& & \downarrow & & \downarrow & & \\
0 & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^1(\bar{X}, \mathbf{Z}_p(1))_\Gamma & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^2(X, \mathbf{Z}_p(1)) & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^2(\bar{X}, \mathbf{Z}_p(1))^\Gamma \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \\
& & T_p \mathrm{Br}(X) & & & & \\
& & \downarrow & & & & \\
& & 0 & & & & 
\end{array}$$

The group  $\mathrm{H}_{\mathrm{fppf}}^1(\bar{X}, \mathbf{Z}_p(1))_\Gamma$  is killed by multiplication by  $t(X) := |\mathrm{Pic}(X)_{\mathrm{tors}}| = |\mathrm{Pic}(\bar{X})_{\mathrm{tors}}^\Gamma| < \infty$ .

*Proof.* Pass to the projective limit in

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
& & \mathrm{Pic}(X)/p^n & \longrightarrow & (\mathrm{NS}(\bar{X})/p^n)^\Gamma & & \\
& & \downarrow & & \downarrow & & \\
0 & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^1(\bar{X}, \mu_{p^n})_\Gamma & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^2(X, \mu_{p^n}) & \longrightarrow & \mathrm{H}_{\mathrm{fppf}}^2(\bar{X}, \mu_{p^n})^\Gamma \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \\
& & \mathrm{Pic}(\bar{X})[p^n]_\Gamma & & \mathrm{Br}(X)[p^n] & & \\
& & \downarrow & & \downarrow & & \\
& & 0 & & 0 & & 
\end{array}$$

The columns come from the Kummer sequence Lemma 3.4, and the middle row comes from the Hochschild-Serre spectral sequence for the flat topology [Mil80], p. 105, Remark III.2.21 (a). Note that  $\mathrm{H}_{\mathrm{fppf}}^2(X, \mathbf{Z}_p(1))$  is a finitely generated  $\mathbf{Z}_p$ -module by [Ill79], p. 629, Proposition 5.9.

One has  $\text{Pic}(X) = \text{Pic}(\bar{X})^\Gamma$  since the Hochschild-Serre spectral sequence for the flat topology [Mil80], p. 105, Remark III.2.21 (a) gives us an exact sequence

$$0 \rightarrow H^1(\Gamma, H_{\text{fppf}}^0(\bar{X}, \mathbf{G}_m)) \rightarrow \text{Pic}(X) \rightarrow \text{Pic}(\bar{X})^\Gamma \rightarrow H^2(\Gamma, H_{\text{fppf}}^0(\bar{X}, \mathbf{G}_m)),$$

and  $H_{\text{fppf}}^0(\bar{X}, \mathbf{G}_m) = \bar{k}^\times$ , and  $H^1(\Gamma, \bar{k}^\times) = 0$  by Hilbert's theorem 90 and  $H^2(\Gamma, \bar{k}^\times) = \text{Br}(k) = 0$ . The order of the group  $(\text{Pic}(\bar{X})[p^n])_\Gamma$  equals the order of the group  $(\text{Pic}(\bar{X})[p^n])^\Gamma$  since  $\text{Pic}(\bar{X})[p^n]$  is finite, so its Herbrand quotient equals 1. Hence  $H_{\text{fppf}}^1(\bar{X}, \mu_{p^n})_\Gamma$  is killed by multiplication with  $t(X)$ , so  $H_{\text{fppf}}^1(\bar{X}, \mathbf{Z}_p(1))_\Gamma$  is killed by multiplication by  $t(X)$ .  $\square$

**Proposition 6.2.** *There is a diagram*

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ & & \text{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{Q}_p & \longrightarrow & (\text{NS}(\bar{X}) \otimes_{\mathbf{Z}} \mathbf{Q}_p)^\Gamma & & \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)) & \longrightarrow & H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))^\Gamma & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ & & V_p \text{Br}(X) & & & & \\ & & \downarrow & & & & \\ & & 0 & & & & \end{array}$$

of finitely generated  $\mathbf{Q}_p$ -vector spaces with exact rows and columns.

*Proof.* Tensor the groups in Proposition 6.1 with  $\mathbf{Q}_p$  over  $\mathbf{Z}_p$ . Since  $H_{\text{fppf}}^1(\bar{X}, \mathbf{Z}_p(1))_\Gamma$  is killed by multiplication with  $t(X)$ , it is 0 after tensoring with  $\mathbf{Q}_p$ . The upper horizontal arrow is an isomorphism since  $\text{Pic}(X) = \text{Pic}(\bar{X})^\Gamma$  (see the proof of Proposition 6.1) and  $\text{Pic}(\bar{X}) \otimes_{\mathbf{Z}} \mathbf{Q}_p = \text{NS}(\bar{X}) \otimes_{\mathbf{Z}} \mathbf{Q}_p$  because  $\text{Pic}^0(\bar{X}) = \mathbf{Pic}_{X/k}^0(\bar{k}) = \varinjlim_n \mathbf{Pic}_{X/k}^0(\mathbf{F}_{q^n})$  is torsion as a colimit of finite groups; furthermore,  $(\text{NS}(\bar{X}) \otimes_{\mathbf{Z}} \mathbf{Q}_p)^\Gamma = \text{NS}(\bar{X})^\Gamma \otimes_{\mathbf{Z}} \mathbf{Q}_p$  since  $-\otimes_{\mathbf{Z}} \mathbf{Q}_p$  is exact and  $\text{NS}(\bar{X})$  is a discrete  $\Gamma$ -module and for a finite group  $G$ , one has  $A^G = \varprojlim(A \rightarrow \bigoplus_{g \in G} A)$ .  $\square$

**Corollary 6.3.** *The following are equivalent:*

1. *The group  $\text{Br}(X)[p^\infty]$  is finite.*
2.  *$\text{Br}(X)[p^\infty]_{\text{div}} = 0$  and  $\text{Br}(X)[p^\infty] = \text{Br}(X)[p^\infty]_{\text{n-div}}$ .*
3.  *$V_p \text{Br}(X) = 0$ .*
4.  *$\text{NS}(X) \otimes_{\mathbf{Z}} \mathbf{Q}_p = H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))^\Gamma = H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))^{\text{Prob}}$ .*
5.  *$\dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))^\Gamma = \rho(X)$  with  $\rho(X) := \text{rk}_{\mathbf{Z}} \text{NS}(X) = \text{rk}_{\mathbf{Z}} \text{Pic}(X)$ .*

*One always has  $\dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))^\Gamma \geq \rho(X)$ .*

**Lemma 6.4.** *Let  $k$  be a finite field of characteristic  $p$  and  $A/k$  be an Abelian variety. Then the action of  $\Gamma = \text{Gal}(\bar{k}/k)$  on  $T_p A$  is semisimple.*

*Proof.* Let  $\ell \neq p$  be prime. The minimal polynomial of the Frobenius acting on  $T_\ell A$  is defined over  $\mathbf{Q}$  since it equals the radical of the characteristic polynomial, which is defined over  $\mathbf{Q}$  by [Mil86], p. 125, Proposition 12.9.

By [Tat66], the Frobenius acts semisimply on  $T_\ell A$  for  $\ell \neq p$  prime, and hence its minimal polynomial is square-free. Since  $\text{End}_k(A) \otimes_{\mathbf{Z}} \mathbf{Q}_\ell \rightarrow \text{End}_{\mathbf{Q}_\ell}(T_\ell A)$  is injective, it also satisfies this square-free polynomial in  $\text{End}_k(A) \otimes_{\mathbf{Z}} \mathbf{Q} \subset \text{End}_k(A) \otimes_{\mathbf{Z}} \mathbf{Q}_\ell$ , so it is semisimple. Hence it also acts semisimply on  $T_p A$  as there is an injection  $\text{End}_k(A) \otimes_{\mathbf{Z}} \mathbf{Q}_p \hookrightarrow \text{End}_{\mathbf{Q}_p}(T_p A)$ .  $\square$

**Theorem 6.5.** *Let  $X$  be a product of smooth proper curves and Abelian varieties over a finite field  $k$  of characteristic  $p$ . Then  $\dim_{\mathbf{Q}_p} H_{\text{cris}}^2(\bar{X}/W)^\Gamma \otimes \mathbf{Q}_p = \dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)) = \rho(X)$ . Hence  $\text{Br}(X)[p^\infty]$  is finite by Corollary 6.3.*

*Proof.* Note that proper curves and Abelian varieties are projective by [Har83], p. 136, Proposition II.6.7 and [Mil86], p. 113, Theorem 7.1. By Corollary 6.3,

$$\dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)) \geq \rho(X),$$

so it suffices to prove that  $\dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)) \leq \rho(X)$ .

One has for  $\ell \neq p$  prime

$$\rho(X) = \dim_{\mathbf{Q}_\ell} H_{\text{ét}}^2(\bar{X}, \mathbf{Q}_\ell(1))^\Gamma = \dim_{\mathbf{Q}_p} H_{\text{cris}}^2(X/W) \otimes_{\mathbf{Z}} \mathbf{Q}_p,$$

the first equality by the Tate conjecture [Tat66, Theorem 4], and the second equality by [KM74, Corollary 11]) since  $X/k$  is smooth and projective.

One has  $H_{\text{ét}}^{2r}(\bar{X}, \mathbf{Q}_\ell(i))^\Gamma = H_{\text{ét}}^{2r}(X, \mathbf{Q}_\ell(i))$  for  $k$  finite: The Hochschild-Serre spectral sequence

$$H^p(\Gamma, H_{\text{ét}}^q(\bar{X}, \mathbf{Q}_\ell(i))) \implies H_{\text{ét}}^{p+q}(X, \mathbf{Q}_\ell(i))$$

yields by  $\text{cd}(k) = 1$  a short exact sequence

$$0 \rightarrow H^1(\Gamma, H_{\text{ét}}^{q-1}(\bar{X}, \mathbf{Q}_\ell(i))) \rightarrow H_{\text{ét}}^q(X, \mathbf{Q}_\ell(i)) \rightarrow H_{\text{ét}}^q(\bar{X}, \mathbf{Q}_\ell(i))^\Gamma \rightarrow 0.$$

But  $H_{\text{ét}}^{q-1}(\bar{X}, \mathbf{Q}_\ell(i))$  is uniquely divisible, so  $H^1(\Gamma, H_{\text{ét}}^{q-1}(\bar{X}, \mathbf{Q}_\ell(i))) = 0$ . Analogously, one has  $H_{\text{fppf}}^q(X, \mathbf{Q}_p(i)) = H_{\text{fppf}}^q(\bar{X}, \mathbf{Q}_p(i))^\Gamma$ .

Furthermore,  $\dim_{\mathbf{Q}_\ell} H_{\text{ét}}^2(\bar{X}, \mathbf{Q}_\ell(1)) = \dim_{\mathbf{Q}_p} H_{\text{cris}}^2(\bar{X}/W) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \geq \dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(\bar{X}, \mathbf{Q}_p(1))$ , so

$$\rho(X) = \dim_{\mathbf{Q}_\ell} H_{\text{ét}}^2(\bar{X}, \mathbf{Q}_\ell(1))^\Gamma \geq \dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)).$$

On the other hand,

$$\dim_{\mathbf{Q}_p} H_{\text{fppf}}^2(X, \mathbf{Q}_p(1)) \leq \dim_{\mathbf{Q}_p} H_{\text{cris}}^2(X/W) \otimes_{\mathbf{Z}} \mathbf{Q}_p$$

by [Ill79, Théorème 5.5 (5.5.3) or Théorème 5.14].

By Tate's conjecture [Tat66] the Frobenius action on the étale cohomology  $H_{\text{ét}}^2(\bar{X}, \mathbf{Q}_\ell(1)) = \bigwedge^2 H_{\text{ét}}^1(\bar{X}, \mathbf{Q}_\ell) \otimes \mathbf{Q}_\ell(1)$  is semisimple, hence  $\dim_{\mathbf{Q}_\ell} H_{\text{ét}}^2(\bar{X}, \mathbf{Q}_\ell(1))^\Gamma$  is equal to the multiplicity of  $1 - X$  as a factor of the characteristic polynomial of the Frobenius morphism, which is independent of  $\ell$ . By Lemma 6.4 this also holds for crystalline cohomology (which is a Weil cohomology theory).  $\square$

**Corollary 6.6.** *The Brauer group of a product of smooth proper curves and abelian varieties over a finite field is finite.*

*Proof.* Combine Theorem 6.5 with [Zar83], p. 214, Corollary 2.3.5 and use that the Brauer group of a regular scheme is torsion.  $\square$

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